



10 March 2017

Re: UCSF as a Signatory to the OA2020 Expression of Interest (EoI)

The University of California at San Francisco (UCSF) affirms its support for accelerating advancement through scholarly research and broadening access to the work of academic authors. A strategic goal of UCSF has long been to make scholarly communication more open, transparent, and economically sustainable. With this in mind, UCSF has helped lead the Open Access (OA) movement, and has actively promoted new models and initiatives that enable greater community engagement and collaboration, increase the rate of scientific discovery and dissemination, allow scholars to retain rights as authors, and provide the public with a return on their investment.

Along these lines, we recognize the enormous potential of the world-wide OA2020 Initiative to break down historical and financial barriers to access, and to transform the scholarly communication ecosystem through which research results are disseminated. Specifically, UCSF supports the goal of making all scholarly publications OA, and doing so sooner rather than later; we support the conversion of subscription journals to OA so that our work becomes freely and immediately available to everyone; and we support efforts to cooperate with like-minded institutions to identify practical and effective steps toward these goals. We call on key stakeholders including institutions, funders, professional societies, publishers, journals, and authors to participate with us in a broader discussion of these ends and means, and to explore obstacles and opportunities.

The OA2020 Initiative has received widespread and strong faculty endorsement at UCSF as evidenced by the enclosed letters of support. The UCSF Administration has also made a steadfast commitment to sustain library resources to help ensure the success of this model. Thus, without hesitation, UCSF joins as a signatory to the Expression of Interest (EoI) for the OA2020 Initiative.

Sincerely,

Richard A. Schneider, PhD

Chair, Committee on Library and Scholarly Communication, UCSF

Daniel H. Lowenstein, MD

Executive Vice Chancellor and Provost, UCSF

Jim Munson

Interim Business Lead, UCSF Library



Expression of Interest in the Large-scale Implementation of Open Access to Scholarly Journals

Building on the Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities and on the progress that has been achieved so far, we are pursuing the large-scale implementation of free online access to, and largely unrestricted use and re-use of scholarly research articles.

We recognize and endorse various ways of implementing open access (OA), including the development of new OA publishing platforms, archives and repositories. In scholarly journal publishing, OA has gained a substantial and increasing volume. Most journals, however, are still based on the subscription business model with its inherent deficiencies in terms of access, cost-efficiency, transparency, and restrictions of use.

To gain the full benefits of OA and enable a smooth, swift and scholarly oriented transition, the existing corpus of scholarly journals should be converted from subscription to open access. Recent developments and studies indicate that this transition process can be realized within the framework of currently available resources.

With this statement, we express our interest in establishing an international initiative for the OA transformation of scholarly journals, and we agree upon the following key aspects:

- We aim to transform a majority of today's scholarly journals from subscription to OA publishing in accordance with community-specific publication preferences. At the same time, we continue to support new and improved forms of OA publishing.
- We will pursue this transformation process by converting resources currently spent on journal subscriptions into funds to support sustainable OA business models. Accordingly, we intend to re-organize the underlying cash flows, to establish transparency with regard to costs and potential savings, and to adopt mechanisms to avoid undue publication barriers.
- We invite all parties involved in scholarly publishing, in particular universities, research institutions, funders, libraries, and publishers to collaborate on a swift and efficient transition for the benefit of scholarship and society at large.

Specific steps and milestones for the transformation process shall be outlined in a roadmap to be further developed in the course of this initiative. We see the initiative as one element of a more profound evolution of the academic publishing system that will lead to major improvements in scholarly communication and research evaluation.

Institution

Date

Name

Signature

References:

Berlin Declaration (2003): <http://openaccess.mpg.de/Berlin-Declaration>
Berlin Mission Statement (2013): http://openaccess.mpg.de/mission-statement_en
MPDL White Paper (2015): <http://dx.doi.org/10.17617/1.3>

Office of the Academic Senate

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Ruth Greenblatt, MD, Chair
David Teitel, MD, Vice Chair
Arthur Miller, PhD, Secretary
Jae Woo Lee, MD, Parliamentarian

March 13, 2017

Richard A. Schneider, PhD
Chair, Committee on Library and Scholarly Communication
University of California at San Francisco
513 Parnassus Avenue, S-1159
San Francisco, CA 94143-0514

Re: Open Access 2020 Expression of Interest

Dear Professor Schneider,

Thank you for your recent presentation to the UCSF Academic Senate Executive Council last week on the Open Access 2020 (OA 2020) initiative. Your commitment to open access has expedited UCSF author participation in open access journals, and for the development of a UC Systemwide open access policy in 2015.

UCSF senate committees reviewed the proposal and some made comments regarding the logistics of shifting from a subscription-based to an open access-based publishing model. Some raised concerns that were focused on the extent to which publishers may cooperate with this initiative which could create obstacles for faculty who want to publish in top impact journals (if those journals choose to offer only subscription-based content). We encourage the signatories to address publisher cooperation as they plan for implementation. One point of leverage could be the various professional and scientific societies and associations, which are often essential partners in journal publishing.

We note that the goals of OA 2020 align themselves quite well with the UC Mission Statement, which articulates UC's mission as follows – "to serve society as a center of higher learning, providing long-term societal benefits through transmitting advanced knowledge, discovering new knowledge, and functioning as an active working repository of organized knowledge..."

Our comments made, seven Senate committees and two Faculty Councils agreed that UCSF sign the Expression of Interest (Eol). Therefore, the UCSF Senate's Executive Council unanimously approved adding UCSF as a signatory to the Eol. UCSF authors publish an average of 5,000 articles per year, and dissemination of our scholarly work is of the upmost importance to the entire faculty. We believe that adding UCSF's voice to OA 2020 will offer measurable benefits to both academia and society as a whole.

Sincerely,

A handwritten signature in black ink, appearing to read "Ruth Greenblatt". The signature is fluid and cursive, with the first name "Ruth" and last name "Greenblatt" clearly distinguishable.

Ruth Greenblatt, MD, 2015-17 Chair
UCSF Academic Senate

CC: David Teitel, Vice Chair, Academic Senate

Communication from the Committee on Academic Freedom
Brent Lin, DMD, Chair

14 February 2017

Richard A. Schneider, PhD
Department of Orthopaedic Surgery
University of California at San Francisco
513 Parnassus Avenue, S-1159
San Francisco, CA 94143-0514

Dear Professor Schneider,

The Committee on Academic Freedom unanimously affirms the Open Access 2020 initiative's *Expression of Interest (Eoi)*, and supports UCSF's inclusion and leadership as a signatory to the Eoi. The Committee's work is grounded in, and guided by the freedoms of inquiry, expression, and scholarship at UCSF, thereby enabling the University's faculty to realize their academic, clinical and research missions through discovery and dissemination of knowledge to students and to the world. We recognize the goals of the Eoi are aligned with the principles of academic freedom.

On behalf of the Committee on Academic Freedom, I am pleased to offer our support to the campus' and your efforts in this meaningful endeavor.

Sincerely,

A handwritten signature in black ink, appearing to read "Brent Lin", with a stylized flourish at the end.

Brent Lin, DMD
Chair, Committee on Academic Freedom

Communication from the Committee on Equal Opportunity

February 16, 2017

To: Rich Schneider, Chair, Committee on Library and Scholarly Communication

Cc: Todd Giedt, Executive Director

From: Linda Centore, Chair, Committee on Equal Opportunity

Dear Chair Schneider,

Thank you for discussing the Open Access 2020 initiative and its Expression of Interest recently with the Committee on Equal Opportunity (EQOP). A central focus of EQOP is reviewing the status of underrepresented groups within its jurisdiction. Special care is taken when assessing and supporting campus initiatives that strengthen inclusion, access and equality among these groups.

Open Access 2020 introduces a publishing model that removes barriers and increases access to articles impacting underrepresented groups. Policy makers, scholars and the general public benefit from real time, open access to content that is limited under the current subscription-based publishing model.

To that end, EQOP affirms its support for the Open Access 2020 initiative and adding UCSF as a signatory to the initiative's Expression of Interest. UCSF should have a seat at the table as the Open Access 2020 initiative is crafted and a new publishing model is implemented.

Sincerely,

A handwritten signature in cursive script that reads "Linda Centore PhD ANP".

Linda Centore, PhD, ANP

Committee on Research
Stuart Gansky, DrPH, Chair

Ruth Greenblatt, MD, Chair
UCSF Academic Senate
500 Parnassus Avenue, Box 0764
San Francisco, CA 94143

30 January 2017

Dear Chair Greenblatt:

On Monday, January 23, 2017, Richard Schneider, Chair of Committee on Library and Scholarly Communication, met with the Committee on Research to discuss the non-binding Expression of Interest to advance the goals of the Open Access 2020 initiative.

After a thorough question and answer period, the Committee on Research voted in affirmation to endorse the non-binding Expression of Interest.

The Committee on Research (COR) gives its full support for UCSF to concur with the non-binding Expression of Interest (Eoi), a key component of the Open Access 2020 initiative.

Respectfully submitted,



Stuart A. Gansky, DrPH, Chair
Committee on Research

Cc: Richard Schneider, PhD, Chair of Committee on Library and Scholarly Communication
Todd Giedt, Executive Director, Academic Senate
Karla Goodbody, Analyst, Committee on Library and Scholarly Communication

Communication from the Faculty Welfare Committee

Roberta Rehm, RN, PhD, FAAN, Chair

February 9th, 2016

TO: Rich Schneider, Chair of the UCSF Committee on Library and Scholarly Communication

FROM: Roberta S. Rehm, Chair of the Faculty Welfare Committee

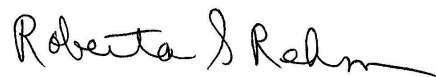
RE: Support for the Signing of OA 2020 Expression of Interest Letter

Dear Chair Schneider:

The members of the Faculty Welfare Committee thank you for the Open Access 2020 presentation. Committee members support open access publishing and encourage all efforts to increase the quantity and quality of open access content. Please note that while members do back the initiative, there is concern that if subscription fees are withheld by the university, there may not be enough of this funding for all faculty to publish as they do today. There could potentially be a scenario where administration is forced to allocate its scarce resources by favoring some publications over others. In order to address this concern, members suggest the university administration, perhaps working in concert with appropriate Senate committees, could develop policies and procedures to provide some assurances that long term access to opportunities for publication be accorded to all faculty. In addition, we would of course like to assure that access to a wide range of current published literature continues during the transition from a publisher-centric to open access model.

Overall, members believe that the developers of the OA 2020 initiative have done great work in developing a new and exciting model for open access publishing. With concerns noted, committee members endorse UCSF's signature of the Open Access 2020 Expression of Interest letter.

Sincerely,



Roberta S. Rehm, RN, PhD, FAAN
Chair of the Faculty Welfare Committee

Communication from the Academic Planning and Budget Committee

Chad Christine, MD, Chair

February 28th, 2017

TO: Rich Schneider, Chair of the UCSF Committee on Library and Scholarly Communication

FROM: Chad Christine, Chair of the Academic Planning and Budget Committee

RE: Support for the Signing of OA 2020 Expression of Interest Letter

Dear Chair Schneider:

The members of the Academic Planning and Budget Committee thank you for the Open Access 2020 presentation. The committee supports open access publishing and encourages all efforts to increase the quantity and quality of open access content. To this end, members believe that the OA 2020 initiative has done great work in developing a new and exciting model that should significantly accelerate the pace of open access publishing.

Committee members endorse UCSF's signature of the Open Access 2020 Expression of Interest letter.

Sincerely,

Chad Christine, MD
Chair of the Academic Planning and Budget Committee

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Ruth Greenblatt, MD, Chair
David Teitel, MD, Vice Chair
Arthur Miller, PhD, Secretary
Jae Woo Lee, MD, Parliamentarian

February 22, 2017

Richard Schneider, PhD
Chair, Committee on Library and Scholarly Communication

Re: Open Access 2020 Expression of Interest

Dear Professor Schneider,

On February 9, 2017, you attended a meeting of the UCSF Academic Senate Graduate Council and discussed the Open Access 2020 initiative and the Expression of Interest in the Large-scale Implementation of Open Access to Scholarly Journals. Thank you for presenting this issue to the Graduate Council.

The Graduate Council discussed the Expression of Interest and agreed that UCSF should participate in conversations about implementing open access. To ensure UCSF and its interests are represented in the Open Access 2020 initiative the Graduate Council supports efforts to have UCSF endorse the Expression of Interest.

Sincerely,



Jason Rock, PhD
Chair, Graduate Council

Cc:
Ruth Greenblatt, Chair, Academic Senate
Todd Giedt, Executive Director, Academic Senate
Kenneth Laslavic, Senior Analyst, Graduate Council
Karla Goodbody, Senior Analyst, COLASC

Communication from the School of Nursing Faculty Council

Abbey Alkon, RN, PhD, FAAN, Chair

February 10th, 2016

TO: Rich Schneider, Chair of the UCSF Committee on Library and Scholarly Communication

FROM: Abbey Alkon, Chair of the School of Nursing Faculty Council

RE: Support for the Signing of OA 2020 Expression of Interest Letter

Dear Chair Schneider:

The members of the School of Nursing Faculty Council thank you for the Open Access 2020 presentation. The Council fully supports open access publishing and encourages all efforts to increase the quantity and quality of open access content. To this end, members believe that the OA 2020 initiative has done great work in developing a new and exciting model that should significantly accelerate the pace of open access publishing.

Council members endorse UCSF's signature of the Open Access 2020 Expression of Interest letter.

Sincerely,

Abbey Alkon, RN, PhD, FAAN
Chair of the School of Nursing Faculty Council

Communication from the School of Medicine Faculty Council

Lydia Zablotska, MD, PhD Chair

February 9th, 2016

TO: Rich Schneider, Chair of the UCSF Committee on Library and Scholarly Communication

FROM: Lydia Zablotska, Chair of the School of Medicine Faculty Council

RE: Support for the Signing of OA 2020 Expression of Interest Letter

Dear Chair Schneider:

The members of the School of Medicine Faculty Council thank you for the Open Access 2020 presentation. The Council fully supports open access publishing and encourages all efforts to increase the quantity and quality of open access content. To this end, members believe that the OA 2020 initiative has done great work in developing a new and exciting model that should significantly accelerate the pace of open access publishing.

Council members endorse UCSF's signature of the Open Access 2020 Expression of Interest letter.

Sincerely,

Lydia Zablotska, MD, PhD
Chair of the School of Medicine Faculty Council