

Communication from the Academic Planning and Budget Committee
Chad Christine, MD, Chair

June 20th, 2017

TO: Ruth Greenblatt, Chair of the UCSF Division of the Academic Senate

FROM: Chad Christine, Chair of the Academic Planning and Budget Committee

RE: Review of the Proposed Revisions to the Presidential Policy on Electronic Information Security

Dear Chair Greenblatt:

The members of the Academic Planning and Budget (APB) Committee have reviewed proposed revisions to the Presidential Policy on Electronic Information Security. After review and discussion, members have determined that we do not have any comments on the proposed changes. However, there are concerns with existing Section 1.2.2 Costs of an Information Security Incident. According to the current policy, "Units will bear the direct costs that result from an Information Security Incident under the Unit's area of responsibility that resulted from a significant failure to comply with this policy. The costs include, but are not limited to: the response, containment, remediation, forensics, analysis, notification, litigation, penalties, regulatory fines and any other costs directly attributable to the Information Security Incident." According to the policy, "Units" are described as, "A generic term for Dean, Vice Chancellor or similar senior role who has the authority to allocate budget and is responsible for Unit performance. At a particular location or in a specific situation the following senior roles may also be Unit Heads: department chairs, assistant/associate vice chancellor (AVC), principal investigators, directors or senior managers."

APB members believe that with ever-changing IT security risks and the attendant high costs associated with security breaches, it is unreasonable to hold individual faculty financially liable for breaches that occur while performing the work of the university. APB encourages the Academic Senate advocate for a policy revision that indemnifies individual faculty from the costs associated with IT security incidents.

We propose the Executive Council invite UCSF's CIO Joe Bengfort to clarify the proposed IT Policy. The following questions should be addressed:

- Who defines the Unit responsible for cyber security breach?
- What is the smallest Unit that could be held responsible?
- Are there limits to the magnitude of financial responsibility (e.g. \$5K, \$100K)?

Sincerely,

Chad Christine, MD
Chair of the Academic Planning and Budget Committee