

# Committee on Library and Scholarly Communication Marta Margeta, MD, PhD, Chair

# ANNUAL REPORT 2019-2020

A major highlight of the 2019-2020 term was the Open Access Deal with Springer Nature, which is the largest open access agreement to date in North America with a major academic journal publisher. COLASC and the Library also worked together to advocate for UC's support of the Office of Science and Technology Policy (OSTP) policy that would eliminate the embargo period for publications resulting from the federally funded research.

The second half of the year was greatly governed by COVID-19, which continues to influence all aspects of UC life, including having a major budgetary impact. The library closed its doors in March with plans to re-open study spaces to students in August. UL Shaffer played a key role in strategic planning for the library space. This involved ensuring students still had access to library services and collections while the library had been closed, while also moving forward with plans from the Education Space Planning Task Force, which includes an infrastructure renewal of the library over a five-year period.

Activities in shared governance between COLASC and the library administration included securing funding from the Chancellor's Fund for the Data Science Initiative.

#### 2019-2020 Members

Marta Margeta, MD, PhD, Chair Keith Mostov, MD, PhD, Vice Chair Annette Carley, RN, DNP, NP Charles Hart, PhD Arthur Hill, MD Cristin Kearns, DDS, MBA Bridget O'Brien, PhD

#### **Ex-Officio Members**

Chris Shaffer, MS, AHIP, University Librarian Min-Ling Fang, MLIS, LAUC Representative Bryan Marsh, Student Representative

# Number of Meetings: 9

Academic Senate Staff: Claire Olivier, Senate Analyst Claire.olivier@ucsf.edu

#### Systemwide Business & Reviews

#### **Revised Presidential Policy on Copyright Ownership**

COLASC expressed concern that other types of creative endeavors undertaken by UC Academic Authors, such as development and dissemination of the new forms of practice by graduate students in nursing, do not seem to be recognized by the language used in the policy. The committee would like a broadened definition of a scholarly and artistic work to be considered.

# **Standardized Testing Task Force**

A Standardized Testing Task Force (STTF) was created to examine the University's current use of standardized testing for admissions and to consider whether the University and its students are best served by UC's current testing practices, a modification of current practices, another testing approach, or the elimination of testing. COLASC met with members of the Task Force to understand their findings and later wrote a letter in support of these findings. Based on the Task Force's research, COLASC did not think that dropping the SAT was advisable at this time, especially given the fact that UC is not able to evaluate HSGPAs in a local context in the same way that admission officers can do so with standardized testing results. COLASC stood solidly behind two of the Task Force's recommendations. The systemwide Academic Council (AC) also voted to support the recommendations of the Standardized Testing Task Force; however the Regents decided to replace the SAT. A Task Force is being formed to develop the SAT replacement and the Academic Senate is working to have representation in this group.

# **Springer Nature - Open Access (OA)**

Throughout the 2019-20 academic year, COLASC followed OA more generally, and UC's negotiations with Springer Nature more specifically. Although COLASC did not play a proactive role in negotiating the Springer Nature agreement, former UCSF COLASC Chair and former UCOLASC Chair Rich Schneider did so. COLASC praises the negotiating team for successfully incorporating all of UC's chief transformative open access (OA) goals, which were developed in advance of the Elsevier negotiations in 2019. The significance of involving faculty in publisher negotiations cannot be overstated. Prioritizing faculty principles and including faculty on the publisher negotiation team helped lead UC to a successful agreement. UCSF has long been a leader in supporting and advancing open access. Besides the Springer Nature agreement, UC has also implemented four other transformative open access agreements, with a diverse range of publishers — Cambridge University Press, society publisher ACM, and native open access publishers JMIR and PLoS.

# Office of Science and Technology Policy (OSTP)

In late 2019/early 2020, the Office of Science and Technology (OSTP; a department in the Executive Office of the President of the United States charged with advising the executive branch and developing science and technology policies and budgets) considered changing the embargo period for open access publications from 12 months to zero. In December 2019, a group of 135 organizations, including society publishers and for-profit publishing companies, expressed their strong opposition to the possible shortening of the embargo period, arguing that such a change would jeopardize billions of dollars in US exports and American business and jobs. The scientific, research and scholarly communication communities quickly rebuked and disproved the December 2019 letter, both online and in subsequent letters to OSTP (which is currently seeking input on its proposal). UCOLASC asked Senate Divisional committees to draft additional letters of support to a zero-embargo period in response to the RFI issued by the OSTP. After presenting this issue to Executive Council, the Academic Senate and other units / committees (including UCSF's COLASC, COR, and Executive Council) wrote letters in support of the proposed policy.

# **Shared University Library System**

At the end of December, the Council of University Librarians signed a contract for a shared university library system, which will be a cloud-based system, and should enhance the experience of finding materials owned by the UC system. The new system will also allow searches across archives and other public datasets, both external and internal. It will probably have less of an impact on UCSF, given our reliance on journals. The UC Libraries selected the Ex Libris Alma and Primo services to deploy the Systemwide Integrated Library System (SILS), with Chris Shaffer as one of the SILS Working Group cochairs. The implementation covers an 18-month period, which will allow the Library to improve the user experience with the UCSF catalog, catalogs of other UC campuses, and ultimately with the wider library community. The new system will go live in summer/fall 2021.

# **UC Survey of Campus Publication efforts**

At the May 2019 Systemwide Library and Scholarly Information Committee (SLASIAC) meeting, members approved a proposal to create an inventory of all publishing activities on UC campuses (campus-based), including those occurring within a department or even by an individual faculty member. One goal was to

begin thinking about what a broader UC publishing strategy might look like, towards the end of developing a funding proposal in May 2020. However, that was deferred given the COVID-19 crisis; now they are examining the already collected data. The initial conclusion is that all campuses are engaged in some kind of independent publishing activity, but much is under the radar, with many forms of unconventional publishing. These publishing programs range from long-standing formal programs that are decades old, to informal and non-peer-reviewed publishing. UCSF has fewer of these projects than other UC campuses. COLASC's feedback was sought regarding publishing programs that faculty know about but are not currently captured by the database.

# COVID-19

Curtailment of Services: At 6 pm on Monday, March 16, the UCSF Library closed its doors, in support of social distancing and the Shelter in Place order. Classes and workshops were moved online. Interlibrary loan service continued, and the Library was able to maintain its standard turnaround times. Additionally, the Library offered free interlibrary loans for anything that is normally available through its print collections.

The use of the HathiTrust (HT) Emergency Access Library was enacted, which allowed the Library to electronically loan in-copyright books owned by any UC campus throughout the entire UC system during the COVID-19 crisis. The library must be physically closed to allow this type of access. If a UC library reopens to students and they access physical collections that contain HT material, it would eliminate the HT access. Though not every physical book is part of the HT, logistically it would be too time consuming to partially re-open and parse out the books not connected to the HT. Therefore, it has been agreed that for now, as campuses start to re-open to students and faculty, all UC library physical collections will remain off-limits.

The Maker's Lab collaborated with UCSF Health Clinical Technology Services to produce PPE with its 3D printers. The face masks cost \$1 to make and over 2,000 were printed for the healthcare system.

# **Divisional Business**

This year, COLASC took action on the following issues related to the San Francisco Division:

#### Chancellor's Fund

The Senate continued to fund the long-running COLASC-UCSF Library initiatives that have up to this point included open access and open science. For the 2019-20 academic year, \$100K of the Chancellor's Fund was used to support the following:

- Continued partial support for a Data Science Instructional Designer, co-funded by the Bakar Institute, which has enabled the Library to continue developing the data science curriculum, particularly offering Genomic Preprocessing and Docker 101 workshops, as well as strengthening the "Hacky Hour"; and
- Supporting another part-time instructor to help the Library meet increasing demand for basic workshops by developing on-line curriculum and supporting a flipped classroom model for shorter, more interactive, and more frequent workshops.

Education Space Planning Task Force (ESPTF): Per Bylaw 165, COLASC has advisory authority to participate with the UL on matters relating to library budget formulation policy and the allocation of space and apportionment of funds, as well as preparing and submitting to the San Francisco Division an annual report on financial problems, allocation of space, facilities for Library research and any other matters within its jurisdiction. Towards that end, COLASC followed with interest regular reports from UL Shaffer on the ESPTF, which he is co-chairing with the SOM Executive Vice Dean. The work is aligned with the Research Space Initiative including: building community, providing community spaces, and linking together spaces that benefit from being adjacent. The initial work of the ESPTF has been focused on classrooms, including those in the new Research and Academic Building (RAB) that will replace University Hall, ESPTF is working on activities related to: the Classroom Portfolio, an expansion of the

Simulation Center, and potential expansion of the Makers Lab. COLASC took a tour of the Makers lab in February and provided feedback for their proposal to ESPTF to expand the Makers Lab. A final report by the EPSTF will be delivered in October.

The Library received funding for the third-floor security project (e.g., converting the third floor into a 24-hour study space), and planning is underway (currently in process of obtaining city permits). The other floors will be badge-access only during normal operating hours. However, the security project has been put on hold due to the pandemic and may be resumed at a later date. The Archives Vault construction project is in process, moving from the fifth floor to the first floor.

Student Life and Student Study continue to be examined, as they relate to COVID-19. The Library and some classrooms were made available for student-only study beginning in August. Mandated occupancy is under 250 students across all library and classroom spaces available for student study.

#### **Deaccession of Library Materials**

Work is underway to deaccession print journal collections (located in the compact shelving on the first floor of Kalmanovitz Library) as well as a large part of its monograph collection. The Library was proactive in its communication plan for de-accessing materials and included inviting comments on potential de-accessions. COLASC provided questions and feedback re: the deaccessioning process. The Library is removing duplicates and unique items are being moved to a storage facility maintained by UC Berkeley in the East Bay.

#### **Task Forces and Other Committee Service**

The following members of the Committee on Library and Scholarly Communication served on campus and systemwide committees (partial list):

- Marta Margeta: Systemwide Committee on Library and Scholarly Communication, UCSF School of Medicine Faculty Council
- Chris Shaffer: Chair, Parnassus Master Plan Steering Committee Education Space Working Group and Co-Chair Education Space Planning Task Force; Co-Chair, Systemwide Integrated Library System Working Group; IT Governance Steering Committee; IT Governance Enterprise Information and Analytics Committee; Education Data Council, Council of University Librarians; and Executive Codirector, UC Office of Scholarly Communication.

# **Going Forward**

Ongoing issues under review or actions that the Committee will continue into 2020-2021:

# **Open Access**

- The top five publishers that UC is focusing on include: Springer Nature, Wiley, Elsevier, Sage, and Taylor & Francis. With Springer Nature open access agreement now in place, the attention of the Project Transform Working Group and its Negotiating Team will turn to the other four publishers, with the goal to strike similar open access publishing agreements with all four of them over time.
- There are discussions around building a consortium of universities, expanding the group of
  institutions that are participating in these agreements. For example, potentially grouping the UCs and
  CSUs, in order to combine publishing institutions with reading institutions to create a larger sum of
  money to offer publishers. This may be the direction with Wiley. There is another consortium with
  private institutions in CA, mostly reading intensive institutions whose resources could be pooled to
  create a California-wide deal.

#### **Library Space**

• The Educational Space Programming Task Force will continue with the projects mentioned above with involvement from COLASC.

#### Library Strategic Planning

 The Library is in process of engaging with Learning and Development at UCSF, and meeting with a Strategic Planning consultant. They will engage with COLASC regarding their two and five- year strategic plans.

#### Predatory/Vanity Publications and/or Conferences within Faculty CVs Under Review

- There is a lack of a clear and consistent understanding on what makes a journal (or conference), predatory, especially as some journals start off scholarly but over time the quality of their articles declines, while other journals move in the opposite direction.
- COLASC will work on delineating the criteria that departments and/or CAP can use to classify a journal or a conference as a predatory/vanity one.

#### **Revision of Bylaws**

The Bylaws will be revised to accurately reflect the selection of the student member in COLASC.

#### **BIOS & CoLabs**

• BIOS & CoLabs presented to COLASC this past year. It is clear that an ongoing relationship is advantageous and will allow a continuation of collaboration regarding space overlap/interaction, collection management, archiving, and access.

#### **Digital Humanities (DH)**

- DH is developing programming that will include classes to educate faculty, staff, and students about available digital resources at UCSF and other institutions, as well as training on how to use computational tools and methods for digital health humanities projects. These classes will utilize the UCSF archives digital collections corpus; in particular, the AIDS History Project collections and the Industry Documents Library collections.
- They will be looking for an official endorsement from COLASC in Fall of 2020, and assistance with funding in the future. The hope would be that through next year's COLASC, Chancellor's Funds (if available) could be directed towards this programming. COLASC will also help to publicize these tools and resources through the Academic Senate and its various communication channels.

# **Response to Structural Racism**

COLASC and the Library are committed to responding to structural racism. The Library will be
reactivating their Diversity, Equity and Inclusion committee in order to find ways to make actual
changes. Topics will include looking at the library pipeline, increasing diversity in recruiting, and
services for the public. COLASC will be an engaged member of these conversations and actions
moving forward.